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PTO/SB/18 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **328.019**

First Named Inventor **Edmund W. Brown**

Title **TUGGER CART WITH ROTATING PLATFORM**

Express Mail Label No. **EV313042356US**

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ( ☒ ) Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ( ☒ ) Applicant claims small entity status  
See 37 CFR 1.27
3. ( ☒ ) Specification [Total Pages 23]  
(preferred arrangement set forth below, MPEP 1503.01)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4. ( ☒ ) Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
5. ( ☒ ) Oath or Declaration [Total Pages 1]
  - a. ( ☒ ) Unexecuted
  - b. ( ) Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 16 completed)
    - i. ( ) **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63 (d)(2) and 1.33 (b).
6. ( ) Application Data Sheet. See 37 CFR 1.76
7. ( ) CD-ROM or CD-R in duplicate, large table or Computer Program  
(Appendix)

ADDRESS TO: Mail Stop - Box Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

8. ( ) Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ( ) Computer Readable Form (CRF)
- b. ( ) Specification Sequence Listing on:
  - i. ( ) CD-ROM or CD-R (2 copies); or
  - ii. ( ) paper
- c. ( ) Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ( ) Assignment Papers (cover sheet & document(s))
10. ( ) 37 CFR 3.73(b) Statement ( ) Power of Attorney  
(when there is an assignee)
11. ( ) English Translation Document (if applicable)
12. ( ) Information Disclosure Statement (IDS)/PTO-1449 ( ) Copies of IDS Citations
13. ( ) Preliminary Amendment
14. ( ☒ ) Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ( ) Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ( ) Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i) - Applicant must attached form PTO/SB/35 or its equivalent
17. ( ☒ ) Other: Supplemental To Transmittal Letter

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

( ) Continuation ( ) Divisional ( ☒ ) Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label

**23598**

or ☐ Correspondence address below

Name **Peter C. Stomma**

Address **250 E. Wisconsin Avenue  
Suite 1030**

City **Milwaukee**

State **WI**

Zip Code **53202**

Country

Telephone

**(414) 225-9755**

Fax

**(414) 225-9753**

Name (Print/Type) **Peter C. Stomma**

Registration No. (Attorney/Agent) **36,020**

Signature

Date **4/8/04**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Design, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant Claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**448.00****Complete if Known**

Application Number

Filing Date

First Named Inventor

Edmund W. Brown

Examiner Name

Group Art Unit

Attorney Docket No.

328.019

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit AccountDeposit  
Account  
Number

50-1170

Deposit  
Account  
Name

Boyle Fredrickson Newholm Stein &amp; Gratz S.C.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity<br>Fee Code | Large Entity<br>Fee (\$) | Small Entity<br>Fee Code | Small Entity<br>Fee (\$) | Fee Description        | Fee Paid |
|--------------------------|--------------------------|--------------------------|--------------------------|------------------------|----------|
| 1001                     | 770                      | 2001                     | 385                      | Utility filing fee     | 385      |
| 1002                     | 340                      | 2002                     | 170                      | Design filing fee      |          |
| 1003                     | 530                      | 2003                     | 265                      | Plant filing fee       |          |
| 1004                     | 770                      | 2004                     | 385                      | Reissue filing fee     |          |
| 1005                     | 160                      | 2005                     | 80                       | Provisional filing fee |          |

SUBTOTAL (1) (\$)**3895****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 27                 | -20**= 7     | 9              | 63       |
| 3                  | -3**= 0      | 43             | 0        |
| Multiple Dependent |              |                |          |

| Large Entity<br>Fee Code | Large Entity<br>Fee (\$) | Small Entity<br>Fee Code | Small Entity<br>Fee (\$) | Fee Description   |
|--------------------------|--------------------------|--------------------------|--------------------------|---|
| 1202                     | 18                       | 2202                     | 9                        | Claims in excess of 20                                    |
| 1201                     | 86                       | 2201                     | 43                       | Independent claims in excess of 3                         |
| 1203                     | 280                      | 2203                     | 145                      | Multiple dependent claim, if not paid                     |
| 1204                     | 86                       | 2204                     | 43                       | **Reissue independent claims over original patent         |
| 1205                     | 18                       | 2205                     | 9                        | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)**63**

\*\* or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity<br>Fee Code | Large Entity<br>Fee (\$) | Small Entity<br>Fee Code | Small Entity<br>Fee (\$) | Fee Description  | Fee Paid |
|--------------------------|--------------------------|--------------------------|--------------------------|--|----------|
| 1051                     | 130                      | 2051                     | 65                       | Surcharge - late filing fee or oath  |          |
| 1052                     | 50                       | 2052                     | 25                       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053                     | 130                      | 1053                     | 130                      | Non-English specification  |          |
| 1812                     | 2,520                    | 1812                     | 2,520                    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804                     | 920*                     | 1804                     | 920*                     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                     | 1,840*                   | 1805                     | 1,840*                   | Requesting publication of SIR after Examiner action                        |          |
| 1251                     | 110                      | 2251                     | 55                       | Extension for reply within first month                                     |          |
| 1252                     | 420                      | 2252                     | 210                      | Extension for reply within second month                                    |          |
| 1253                     | 950                      | 2253                     | 475                      | Extension for reply within third month                                     |          |
| 1254                     | 1,480                    | 2254                     | 740                      | Extension for reply within fourth month                                    |          |
| 1255                     | 2,010                    | 2255                     | 1,005                    | Extension for reply within fifth month                                     |          |
| 1401                     | 330                      | 2401                     | 165                      | Notice of Appeal   |          |
| 1402                     | 330                      | 2402                     | 165                      | Filing a brief in support of an appeal                                     |          |
| 1403                     | 290                      | 2403                     | 145                      | Request for oral hearing   |          |
| 1451                     | 1,510                    | 1451                     | 1,510                    | Petition to institute a public use proceeding                              |          |
| 1452                     | 110                      | 2452                     | 55                       | Petition to revive - unavoidable   |          |
| 1453                     | 1,330                    | 2453                     | 665                      | Petition to revive - unintentional   |          |
| 1501                     | 1,330                    | 2501                     | 665                      | Utility issue fee (or reissue)   |          |
| 1502                     | 480                      | 2502                     | 240                      | Design issue fee   |          |
| 1503                     | 640                      | 2503                     | 320                      | Plant issue fee  |          |
| 1460                     | 130                      | 1460                     | 130                      | Petitions to the Commissioner  |          |
| 1807                     | 50                       | 1807                     | 50                       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806                     | 180                      | 1806                     | 180                      | Submission of Information Disclosure Stmt                                  |          |
| 8021                     | 40                       | 8021                     | 40                       | Recording each patent assignment per property (times number of properties) |          |
| 1809                     | 770                      | 2809                     | 385                      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810                     | 770                      | 2810                     | 385                      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801                     | 770                      | 2801                     | 385                      | Request for Continued Examination (RCE)                                    |          |
| 1802                     | 900                      | 1802                     | 900                      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)

Peter C. Stomma

Registration No.  
(Attorney/Agent)

36,020

Telephone

(414) 225-9755

Signature

Date

4/8/04

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain and retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Of: )  
 )  
EDMUND W. BROWN ET AL. )  
 )  
Filed Herewith )  
 )  
TUGGER CART WITH ROTATING )  
PLATFORM )

SUPPLEMENT TO TRANSMITTAL LETTER

Mail Stop-Box Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This application is being filed pursuant to 37 CFR 1.53(b) and (d). The application includes the specification, at least one claim, the drawings, and the filing fee. The inventor is Edmund W. Brown, 8715 Gittings Road, Racine, Wisconsin 53406, a citizen of United States of America.

The oath or declaration of the applicant is not included. The declaration will be forwarded promptly upon notification from the U.S. Patent and Trademark Office.

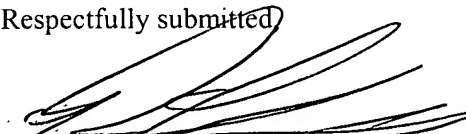
Please address all correspondence to:

PETER C. STOMMA  
BOYLE, FREDRICKSON, NEWHOLM, STEIN & GRATZ, S.C.  
250 East Wisconsin Avenue, Suite 1030  
Milwaukee, Wisconsin 53202

Please address all telephone calls to:

PETER C. STOMMA  
Phone No.: (414) 225-9755

Respectfully submitted



Peter C. Stomma, Reg. No. 36,020

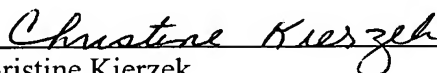
Boyle, Fredrickson, Newholm,  
Stein & Gratz, S.C.  
250 East Wisconsin Avenue, Suite 1030  
Milwaukee, WI 53202  
(414) 225-9755  
Attorney Docket No: 328.019

## **Certification Under 37 CFR 1.10**

Express Mail Label No: **EV313042356US**

Date of Deposit: **April 8, 2004**

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the MAIL STOP – BOX PATENT APPLICATION, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

  
Christine Kierzek